### LEACH, Gemma (NHS NORTHERN, EASTERN AND WESTERN DEVON CCG)

From: LEACH, Gemma (NHS NORTHERN, EASTERN AND WESTERN DEVON CCG)

Sent: 15 February 2018 11:00

Tα LOCALITY, Western (NHS NORTHERN, EASTERN AND WESTERN DEVON CCG)

Subject Joint Commissioning for NEW Devon CCG

Attachments: NEWDJtCommGBJan18.doc;: Joint Commissioning Membership Plan NEW GB Jan

18.pdf; GPC-Co-commissioning-Guidance.pdf.pdf

FAO GP practices in Western Locality of NEW Devon CCG

Dear Colleagues,

I write with a request that as a GP practice and member of NEW Devon CCG you consider the proposal to increase NEW Devon CCG's responsibility with regards to primary care commissioning (specifically primary medical services).

There are three models of primary care commissioning, each of which sets out the various responsibilities of NHS England and CCGs.

- Model A: Greater involvement
- Model B: Joint commissioning
- Model C: Delegated commissioning

NEW Devon CCG currently operates at a level of Greater Involvement, with the highest level of responsibility and authority being with NHS England.

The proposal supported by the Governing Body is that NEW Devon CCG moves to Joint Commissioning of primary care with effect 1<sup>st</sup> May 2018. This would move shift some responsibility and authority from NHS England to the CCG, albeit NHS England still retains overall responsibility, liability and remains the contract holder of GMS, PMS and APMS contracts.

Joint Commissioning is a step towards Delegated Commissioning (perhaps a more familiar term, with the majority of CCGs in England having this status), which gives CCGs the highest possible level of responsibility and authority in primary care commissioning. Any proposed move to Delegated Commissioning would require further consultation with members.

### Quoting the BMA (document attached), describing Joint Commissioning:

"A joint commissioning model enables one or more CCGs to assume responsibility for jointly commissioning primary medical services with their NHS England regional team.

The functions joint committees cover are:

- GMS, PMS and APMS contracts (including the design of PMS and APMS contracts, monitoring of contracts, taking contractual action such as issuing breach/remedial notices, and removing a contract)
- Newly designed enhanced services (LES and DES)
- Design of local incentive schemes as an alternative to the QOF.
- The ability to establish new GP practices in an area
- Approving practice mergers
- Making decisions on 'discretionary' payments (e.g. retumer/retainer schemes).
  Within this model CCGs and NHS England regional teams can create a pooled funding arrangement to increase investment in primary care services."

I have attached documentation with further information.

I would encourage you to please consider this information and contact me with any queries, concerns or other points of view.

I am supportive of this move as a positive step in further integration of commissioning locally. Whilst there are practical issues to sort including ensuring we have sufficient capacity in the CCG, I am confident it is a step in the right direction for primary care.

Devon LMC will be organising a vote of member practices during April 2018. For the CCG Governing Body and NHS England to consider approving the move to Joint Commissioning, at least 50% of votes from practices (one vote per practice) must be in favour of this move.

Regards Shelagh McCormick Chair, Western Locality.



### **GOVERNING BODY COMMITTEE IN COMMON**

Report title: Membership Engagement	t for NEW De	von CCG	to l	pecome Joint	Commiss	sioners of Gene	ral
Date of committee: 25 January 2018  Date report produced: 15 January 201	8						
Author (s) Name and Title:  Mark Procter – Joint Director Primary Care  Contact Details:  Mark.procter@nhs.net		Supporting Executive: Name and Title: Mark Procter – Joint Director Primary Care					
		Report Approved by: Name and Title:					
		Mark Procter – Joint Director Primary Care  Date 15 January 2018					
Public or Private (Governing Body only):				Public		Private	
Please state reason for inclusion as a private paper (and mark as CONFIDENTIAL):							
Purpose and scope of report:	Consultation		Approval		Information		
Does this report place individuals at the centre?				Yes		No	
Executive Summary:  To outline the timeline and approach to engaging with the NEW Devon Clinical Commissioning Group's (CCG) membership to seek their approval to move to Joint Commissioning of General Practice.							
Strategic risk: (include risk number if on register)			Mitigating Actions:				
N/A		N/A					
Management of Conflict of interests:  Conflicts of interests are recorded on the provided and confirmations of declaration and included in the minutes of the meeting and included in the minutes of	ns are reques	ted and n	oted	. Any new de	clarations		

d-ccg.corporateservices@nhs.net or corporate.sdtccg@nhs.net to update the central register.

NHS organisations involved:

Northern, Eastern and Western Devon Clinical Commissioning Group South Devon and Torbay Clinical Commissioning Group

### Committees that have previously discussed/agreed the report and outcomes:

The Primary Care Committee reviewed the proposed timetable and approach to engaging with the membership at its January meeting and approved recommending to the Governing Body that the CCG should go out to engage with its membership about becoming joint commissioners of General Practice.

### Key recommendations and actions requested:

It is recommended that Governing Body approve engaging with NEW Devon CCG's membership to seek their approval to apply to become joint commissioners of general practice in accordance with the proposed timeline.

### Reference to other documents or accompanying papers:

Appendix A – BMA Update on co-commissioning of primary care: guidance for CCG member practices and LMCs

Appendix B - JOINT COMMISSIONING ACTION PLAN - NEW DEVON CCG

Have the legal implications been considered?

N/A

### **Equality Impact Assessment:**

Who does the proposed piece of work affect?		Patients	✓	Carers		✓
		Staff	✓	Public		✓
					Yes	No
1. Will the proposal increase discrimination for people in protected groups?						✓
2. Will the proposal reduce discrimination for people in protected groups?					N/A	
3.	3. Is the proposal controversial in any way (including media, academic, voluntary or sector specific interest) about the proposed work?					✓
4.	4. Will the patients or workforce be disadvantaged as a result of the proposed work?					✓
5.	5. Is there doubt about answers to any of the above questions (e.g. there is not enough information to draw a conclusion)?					✓

If the answer to any of the above questions is yes (other than questions 2 and 3) or you are unsure of your answers to any of the above, you should provide further information using **Quality and Equality Impact Assessment**.

If an equality assessment is not required briefly explain why and provide evidence for the decision.

The CCG has made every effort to ensure this report does not have the effect of discriminating, directly or indirectly, against employees, patients, contractors or visitors on grounds of race, colour, age, nationality, ethnic (or national) origin, sex, sexual orientation, marital status, religious belief or disability.

<sup>\*\*</sup>Please add N/A if any of the sections are not relevant



If a decision is required please indicate which CCG is being asked to approve this report	South Devon and Torbay CCG	
	Northern, Eastern and Western Devon CCG	Х

# Membership Engagement for NEW Devon CCG to become Joint Commissioners of General Practice

### 1. Executive Summary

- 1.1 NEW Devon CCG is working hard and innovatively to improve the quality of care to patients and has some ambitious plans for the next five years. Co-commissioning can support the delivery of these plans through providing a more joined up commissioning approach, especially around;
  - delivering care closer to home for those with long term conditions,
  - addressing health inequalities, and;
  - developing integrated working across the health, social care and voluntary sector.
- 1.2 In addition, it will allow the CCG the opportunity to support its GP member practices to drive quality improvement within primary care, support the development of sustainable services and ensure, as a membership organisation, that the CCG has a greater influence on decisions affecting primary care locally.
- 1.3 The Primary Care Committee has discussed joint commissioning of general practice and since meeting in common with South Devon CCG's Joint Primary Care Committee has seen first-hand how joint commissioning works.
- 1.4 The Primary Care Committee reviewed the proposed timetable and approach to engaging with the membership at its January meeting and approved recommending to the Governing Body that the CCG should go out to engage with its membership about becoming joint commissioners of General Practice.

### 2. Purpose of report

2.1 To outline the timeline and approach to engaging with the NEW Devon Clinical Commissioning Group's (CCG) membership to seek their approval to move to Joint Commissioning of General Practice.

NHS organisations involved:

Northern, Eastern and Western Devon Clinical Commissioning Group South Devon and Torbay Clinical Commissioning Group



### 3. Background

- 3.1 In the guidance Next steps towards primary care co-commissioning (released in November 2014), co-commissioning is described as; "One of a series of changes set out in the NHS Five Year Forward View. Co-commissioning is a key enabler in developing seamless, integrated out-of-hospital services based around the diverse needs of local populations. It will also drive the development of new models of care such as multispecialty community providers and primary and acute care systems. The introduction of co-commissioning is an essential step towards expanding and strengthening primary medical care."
- 3.2NEW Devon CCG is working hard and innovatively to improve the quality of care to patients and has some ambitious plans for the next five years. Co-commissioning can support the delivery of these plans through providing a more joined up commissioning approach, especially around;
  - delivering care closer to home for those with long term conditions,
  - addressing health inequalities, and;
  - developing integrated working across the health, social care and voluntary sector.
- 3.3 In addition, it will allow the CCG the opportunity to support its GP member practices to drive quality improvement within primary care, support the development of sustainable services and ensure, as a membership organisation, that the CCG has a greater influence on decisions affecting primary care locally.
- 3.4 NHS England invites CCGs to take on greater responsibility for general practice commissioning through one of three models:
  - Greater involvement an invitation to CCGs to work more closely with their local NHS England teams in decisions about primary care services
  - 2. **Joint commissioning** enables one or more CCGs to jointly commission general practice services with NHS England through a joint committee
  - 3. **Delegated commissioning** –an opportunity for CCGs to take on full responsibility for the commissioning of general practice services



- 3.5 As of 1 April 2017, 197 (out of 207) CCGs have some form of cocommissioning agreement with NHS England. 174 CCGs have delegated commissioning arrangements and 23 CCGs have joint commissioning arrangements. South Devon and Torbay CCG have been joint commissioners since October 2016.
- 3.6 NHS England state that they are increasingly learning that delegated commissioning is delivering the most benefit for local populations. As a result, they are encouraging all CCGs to take on delegated commissioning responsibility in the future.

### 4. Primary Care Committee

- 4.1 The Primary Care Committee has discussed joint commissioning of general practice and since meeting in common with South Devon CCG's Joint Primary Care Committee has seen first-hand how joint commissioning works.
- 4.2 The Primary Care Committee reviewed the proposed timetable and approach to engaging with the membership at its January meeting and approved recommending to the Governing Body that the CCG should go out to engage with its membership about becoming joint commissioners of General Practice.

### 5. Recommendations

7.1 It is recommended that Governing Body approve engaging with NEW Devon CCG's membership to seek their approval to apply to become joint commissioners of general practice in accordance with the proposed timeline.

Report author and job title: Mark Procter, Director of Primary Care

Executive Lead: Mark Procter
Job Title: Director of Primary Care
Date of Approval by Executive:

Month	Action	Lead	To be completed by
	Retrieve previous information / paperwork completed by SDT CCG	РВ	
	Determine the roles of Governing Body and the primary care committee in CCG approval and oversight	MP	Complete
	NHS England to confirm the process required and approval process	MP	Complete confirmed decision can be made by local area team
	Early discussions with the LMC	MP / PB	
	Seek Governing Body approval to start membership engagement about moving to joint commissioning	MP	25th January 2018
	Take early opportunities to 'warm up' the membership about the benefits of joint commissioning at meetings the team are attending.	MP/PB/JR/TB	
la a como mon	Script / presentation development and Q&A	MP / PB	
January	Discuss with key individuals about the benefits of joint commissioning		
	Set evening dates, sort venues and issue invites for events - LMC attendees or hosts? (MP to confirm)	JR	
	Slots at Locality Boards	MP/PB/TB	Where possible attend Jan/Feb/March dates
	Slots at Practice Manager groups if possible	MP/PB	Where possible attend Jan/Feb/March dates
	ToR for vote		
	-1 vote 1 practice	MP/PB	
	- Majority of responses to support	IVIF/FB	
	- Majority of practices (50%)		
February	3 events to take place w/c 19th Feb 2018		23rd February
	LMC to run the Vote	MP	Result beginning/Middle of March
March	NHS England sign off	MP	
Wiarch	CCG Approval to move to Joint Commissioning	MP	Governing Body Meeting - 22nd March



Update on co-commissioning of primary care: guidance for CCG member practices and LMCs



This paper is an update of previous GPC (general practitioners committee) guidance for GP practices and LMCs (local medical committees) about options for your CCG (clinical commissioning group) to take greater commissioning control (called "co-commissioning") including the commissioning and performance management of general practice contracts. Since the previous guidance, 114 of the 209 CCGs in England have assumed delegated commissioning responsibilities. If your CCG is thinking of doing the same it is important that you understand these changes and their implications. As a practice it is important you are aware of what is happening in your area so you can exercise your rights as a member to democratically influence the decision of your CCG.

November 2016

### **Key points for CCG member practices and LMCs**

- 1) Make sure you understand the different co-commissioning models and their implications for your practice, including the benefits and risks of each model.
- 2) Engage your CCG Board. Discuss with them:
  - What do they see as the benefits of delegated commissioning in your area?
  - What are their views on holding and performance managing member GP contracts?
  - What will the membership of "joint committees" and "primary care commissioning committees" look like? [See 'What do the different cocommissioning models mean' for more information on these]
  - How will CCGs manage and mitigate the risks from conflicts of interest?
  - What frameworks is your CCG putting in place for arbitration processes?
- 3) CCGs must consult their membership and obtain a mandate from members before making any decisions about co-commissioning and before submitting proposals to NHS England. GPC thinks this should take the form of a formal democratic vote of member GPs/practices.
- 4) CCGs should have consulted their LMC well in advance of making any decisions about co-commissioning.
- 5) Any CCGs taking forward delegated commissioning must update their constitutions, in collaboration with member practices.
- 6) If these steps have not taken place then your CCG should not be going forward with delegated commissioning. The deadline for applying for delegated commissioning in April 2017 is 5 December 2016.

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### What is co-commissioning?

Co-commissioning refers to the process whereby CCGs can directly commission primary medical services and performance manage practices (but not individuals).

This was first introduced in November 2014 in the document <u>Next steps towards primary</u> <u>care co-commissioning</u> for CCGs to take up from April 2015. NHS England offered each CCG the opportunity to adopt one of three commissioning models:

- Model A: Greater involvement
- Model B: Joint commissioning
- Model C: Delegated commissioning

In the first year 63 CCGs took on full delegation, with another 51 CCGs opting for it the following year. At present the number of CCGs who have opted for some form of cocommissioning are:

- Model B: Joint commissioning 60 CCGs
- Model C: Delegated commissioning 114 CCGs

The list of CCGs and details of which co-commissioning model they are using is available <u>here</u>. We have assumed that the 35 remaining CCGs will have adopted model A by this point and will be working closely with their NHS England regional team.

At present, CCGs are not obliged to apply for any of the co-commissioning models. Before making any decisions and before submitting proposals to NHS England, CCGs must consult their membership and obtain a mandate from members. GPC believes that this should take the form of a formal democratic vote of member GPs/practices.

This is supported by the NHS England application pro forma requiring the CCG Accountable Officer and Audit Committee Chair to confirm that the 'membership and governing body have seen and agreed to all proposed arrangements in support of taking on delegated commissioning arrangements for primary medical services on behalf of NHS England for 2017/18.' If CCGs do not properly follow this process, including allowing enough time to consult with members, it is questionable whether any changes are valid and can be implemented.

Any CCGs taking forward co-commissioning must also update their constitutions, in collaboration with member practices.

It is critical that CCGs consult their LMC well in advance of any decision about co-commissioning and, if they decide to take it forward, involve them fully in the process.

#### What won't CCGs be able to do?

 ${\it CCGs-regardless}\ of\ the\ commissioning\ model\ adopted-will\ not\ have\ any\ additional\ powers\ over\ the\ performance\ management\ of\ individual\ GPs,\ including\ the\ medical\ performers'\ list,\ appraisal\ or\ revalidation.$ 

CCGs will not have any additional powers over the commissioning of dental, community pharmacy and eye health. NHS England are exploring options for expanding co-commissioning into wider primary care areas in the future.

### What do the different co-commissioning models mean?

#### 1. Model A: Greater involvement

Greater involvement in primary care co-commissioning is an invitation to CCGs to collaborate more closely with their NHS England regional teams to ensure that decisions taken about healthcare services are strategically aligned across the local health economy. This level involves no CCG decision making on GP contracts and no conflicts of interest.

We would expect all CCGs to be at least at this level.

### 2. Model B: Joint commissioning

A joint commissioning model enables one or more CCGs to assume responsibility for jointly commissioning primary medical services with their NHS England regional team.

### The functions **joint committees** cover are:

- GMS, PMS and APMS contracts (including the design of PMS and APMS contracts, monitoring of contracts, taking contractual action such as issuing breach/remedial notices, and removing a contract)
- Newly designed enhanced services (LES and DES)
- Design of local incentive schemes as an alternative to the QOF
- The ability to establish new GP practices in an area
- Approving practice mergers
- Making decisions on 'discretionary' payments (e.g. returner/retainer schemes).

Within this model CCGs and NHS England regional teams can create a pooled funding arrangement to increase investment in primary care services.

**Governance:** Joint commissioning requires a "joint committee" or "committees in common" to make commissioning decisions. This could be with one or more CCGs and the NHS England regional team. It is for regional teams and CCGs to agree the full membership. Representatives from the local Healthwatch and Health and Wellbeing Board also have the right to join this committee as non-voting members.

NHS England's guidance on conflicts of interest does not go into a lot of detail with regards to mitigating conflicts of interests for the joint commissioning model. They expect that the joint role of NHS England in decision-making will provide an additional safeguard in managing conflicts of interest. However, CCGs should still satisfy themselves that they have appropriate arrangements in place in relation to their role in the decision-making process. See the governance section for the delegated commissioning model for more detail on what this could look like.

**How to apply:** There is no longer a formal approval process for joint commissioning; arrangements should be taken forward locally. The next go-live date for joint commissioning is 1 January 2017. All agreements and documentation should be in place before this point.

### 3. Model C: Delegated commissioning

Delegated commissioning offers an opportunity for CCGs to assume full responsibility for commissioning general practice services. Legally, NHS England retains the liability for the performance of primary medical care commissioning so will expect assurance that its statutory functions are being discharged effectively. This requires good communication between the CCG and the NHS England regional team. NHS England suggests CCGs taking on delegated commissioning consider collaborating or merging with other CCGs to receive requisite support.

- The functions CCGs with delegated authority cover are:
- GMS, PMS and APMS contracts (including the design of PMS and APMS contracts, monitoring of contracts, taking contractual action, such as issuing breach/remedial notices, and removing a contract)
- Newly designed enhanced services (LES and DES)
- Design of local incentive schemes as an alternative to the QOF
- The ability to establish new GP practices in an area
- Approving practice mergers
- Making decisions on "discretionary" payments (e.g. returner/retainer schemes).

These are the same functions that that the joint committee has responsibility for in the joint commissioning model. The **main difference here is that the responsibility and decision-making for these functions lies solely with the CCG**, as opposed to jointly with the NHS England regional teams. This means that primary care investment decisions that fall within the functions above will not require approval by the NHS England area team.

Within this model CCGs have greater flexibility to "top up" their primary care allocation with funds from the main CCG allocation.

NHS England is explicit that there is no possibility of additional administrative resources going to CCGs who apply for this model. However, they accept that pragmatic and flexible local solutions need to be agreed by CCGs and regional teams to ensure that CCGs have access to a fair share of the regional team's primary care commissioning staff resources. It is important to hold them to account over this.

**Governance:** Delegated commissioning requires CCGs to create a PCCC ("primary care commissioning committee") to oversee the exercise of delegated functions. It is for CCGs to agree the full membership of this committee. However, it is required to have a lay Chair and lay majority within both the committee and the executive. Representatives from the local Healthwatch and Health and Wellbeing Board also have the right to join this committee as non-voting members.

NHS England's guidance on conflicts of interest recommends that CCGs do not have voting rights on the PCCC. One way to ensure that this doesn't limit clinical involvement in commissioning is by having GPs from other CCG areas and non-GP clinical representatives (such as the CCG's secondary care specialist and/or governing body nurse lead) as voting members on the committee. The CCG may wish to consider a reciprocal arrangement with other CCGs to enable effective, but not conflicted, clinical representation within the committee.

GPC recommends that LMCs also have a seat on the PCCC.

**How to apply**: The deadline for submissions for delegated commissioning in April 2017 is **5 December 2016**. CCGs should already be having discussions with their NHS England regional team and finance leads. They should also have consulted their membership, as well as their LMC, well in advance of making any decisions about co-commissioning and/or amending constitutions. GPC thinks this should take the form of a formal democratic vote of member GPs/practices.

### **Conflicts of interest**

Whilst moving to a joint or delegated commissioning structure undoubtedly raises issue around conflicts of interests, there are ways of mitigating these by putting in place specific measures in the CCG constitution. **CCGs must consult with member practices about any necessary changes to their constitutions.** 

In order to avoid conflict of interest issues, CCGs need to put in place measures that are robust, transparent and command confidence amongst member practices. This needs to happen from day one, and needs to be regularly reviewed given the changing policy environment. It is important that they involve the LMC throughout this process. As a minimum we believe that GP members of CCG boards must not be involved in any investment or performance management decisions affecting member practices.

As a number of CCGs have already started delegated commissioning there are examples of how this can be done successfully. Your NHS regional team should be able to work with your CCG to make sure arrangements are satisfactory.

GPC have published <u>specific guidance</u> covering conflicts of interests in co-commissioning, which includes suggested changes to CCG constitutions.

In June 2016, NHS England published <u>revised statutory guidance on conflicts of interest</u>, specifically aimed at CCGs exercising delegated authority.

## Weighing up the pros and cons: The co-commissioning models and their implications for GP practices

#### **Opportunities Threats** - CCGs have more influence in the Commissioning decisions remain slow Greater involvement development of general practice and fragmented. without any of the risks of direct CCGs (and practices) are less able to make responsibility or accountability. changes to general practice services Removes the risk of increased conflicts than those who have decided to take ofinterest on greater responsibility (widening gap between practices). CCGs have minimal influence over national strategy - they will not be able to design local incentive schemes to replace OOF and DES. Risk of further deterioration of the quality of GP commissioning with remote, regional NHS England teams Inconsistent with the direction of travel for place-based plans that support the needs of the local area. - Risk that joint structures will have no real loint Greater and direct influence in the commissioning accountability to individual CCGs (and development of and investment in member practices). CCGs must ensure general practice. Ability to design local schemes to that they are a significant and equal replace QOF and DESs. partner. Could create better collaboration Local schemes to replace QOF and DES with neighbouring CCGs as they work may result in increased workload as practices are likely to still be expected to together on joint commissioning groups. This is consistent with wider adhere to QOF indicators which are also policy on increased collaboration across monitored as part of the CQC inspection localities through initiatives like STPs process. (sustainability and transformation Increased exposure to conflicts of interest plans). (whether real or perceived). CCGs (and member practices) are Could worsen tensions where the historic relatively less exposed to conflict relationship between member practices of interest issues compared to full and CCG is poor or dysfunctional. delegated responsibility. NHS England regional teams are remote CCGs may not have the management and do not have the necessary local capacity for the workload involved in knowledge to use resources in the most delegated commissioning. Opportunities for GPs in CCGs to have - It can be an additional strain on resources Delegated responsibility direct leadership to influence the for CCGs, which will inevitably have an development of and investment in impact elsewhere in the system. general practice. This should allow CCGs commissioning, holding and for more timely decision-making for managing GP contracts could worsen practices. tensions where the historic relationship CCGs are best placed to commission between member practices and CCG is primary/community/secondary care in poor or dysfunctional. a holistic and integrated manner. Local schemes to replace QOF and DES Ability to design local schemes to may result in increased workload as replace OOFs and DESs, which are practices are likely to still be expected to adhere to OOF indicators which are also aligned with local strategic intentions. CCGs will have more power to drive monitored as part of the CQC inspection forward the development of new GP provider models and the five year Responsibility for any deficit including forward view agenda. outstanding legacy payments/debts It fits with wider strategy to develop as well as secondary and tertiary care place-based commissioning to best overspends and deficits. support the needs of local populations. Even more exposure to conflicts of Offers opportunities to improve out-ofinterest (whether real or perceived). hospital services and support a shift in Paradoxically, the strict governance investment from the acute to primary structure required to mitigate the and community care setting. This is conflicts of interest issue could lead to something that is being put forward in less true influence by GPs, practices and most STPs. CCGs in commissioning general practice. Ability to make redesign decisions As GPs continue to work at scale this across a portfolio of providers and so will become even more of an issue [See across pathways of care tailored to local 'Policy environment update' for more need. Opportunity to be more patient information on this]. focussed in commissioning.

### **FAQs**

Are any other changes to commissioning likely?	Yes. NHS England has been clear that co-commissioning reforms were the first step towards turning CCGs into organisations which may use a capitated budget to deliver care to defined populations.
Is it true that CCGs are also soon to be commissioning specialised services?	Specialised services are still commissioned by NHS England, although they are taking a more collaborative approach with CCGs. It is possible that this will change in the future.
How will local incentive schemes/ contracts align with national arrangements?	Any migration from a national standard contract could only be affected through voluntary action. CCG Boards cannot compel practices to change from a national contract to a local contract. National monitoring for all QOF indicators via CQRS (Calculating Quality Reporting Service) will continue (practices should be mindful that this may put them at risk of doing new work without stopping any QOF obligations).
Will there be a formal process for CCGs developing local incentive schemes or enhanced services?	No. There will be no formal approvals process for any CCG wishing to develop a local QOF scheme or local alternative to a DESs. Any proposed new incentive scheme should be subject to consultation with the LMC, and must be able to demonstrate improved outcomes, reduced inequalities and value for money.
Are CCGs bound by national regulations and/or directions with regards to the GMS/PMS contract?	Yes. The terms of GMS contracts — and any nationally determined elements of PMS and APMS contracts — will continue to be set out in the respective regulations and directions and cannot be varied by CCGs or joint committees.
Are CCGs bound by national plans for MPIG (Minimum Practice Income Guarantee) and PMS reviews?	Yes. CCGs will be required to adopt findings from PMS and MPIG reviews. Any locally agreed schemes will need to reflect the changes agreed as part of the review.
Do CCGs who take on additional responsibility have access to additional resources?	No. There is no possibility of additional administrative resources being deployed to CCGs. Pragmatic local solutions will need to be agreed by CCGs and NHS England local teams.

### Policy environment update

Since the guidance was first published in December 2014 there have been a number of changes to the environment that co-commissioning takes place in. These may affect the way that CCGs and practices are thinking about co-commissioning. This section sets out some of these changes and considers how they might relate to co-commissioning.

### Working at scale

Since our previous guidance the number of GPs working at scale has continued to increase. In a recent BMA survey 43% of GPs in England reported that their practice had joined a federation or network. Whilst we support this development, it creates additional risks of conflicts of interest issues for co-commissioning. There is a risk that the line between practices as members of a CCG and practices as providers within GP networks/federations or local integrated care systems will become increasingly blurred. For example, some networks could in theory cover an entire CCG area.

In June 2016, NHS England published updated statutory <u>guidance</u> on conflicts of interest, taking on board learning from the first wave of CCGs to opt for delegated commissioning. This should help provide CCGs with the necessary toolkit to put in place measures to restrict and negate conflicts when making decisions on matters of primary care commissioning. Recently, NHS England have established a cross system task and finish group, which the BMA has a representative on, with the aim of strengthening conflicts of interest management across the NHS. Once this has concluded it is possible that NHS England's guidance will need to be updated.

#### **GP** forward view

In April 2016, NHS England published the general practice forward view, setting out a general programme of support for general practice over the next five years. This strategy follows strong lobbying and calls for action from GPC, including our paper on 'Responsible, safe and sustainable: our urgent prescription for general practice'. As part of the GP forward view, NHS England have committed to invest a further £2.4bn a year by 2020/21 into general practice services, representing a 14% real terms increase. They have also committed £508 million for a five year sustainability and transformation package. This includes a £56 million practice resilience programme starting in 2016/17, £206 million for workforce measures and £246 to support practices in redesigning services. For more information on the potential opportunities for general practice from the GP forward view see our 'Focus on the NHS England General Practice Forward View' and 'Focus on funding and support for general practice'.

CCGs have been asked to submit a GPFV plan to NHS England on 23 December 2016. These are expected to reflect local circumstances but must, at a minimum, set out: how access to general practice will be improved; how funds for practical transformational support will be created and deployed to support general practice; how ring-fenced funding being devolved to CCGs to support the training of care navigators and medical assistants, and stimulate the use of online consultations, will be deployed. It is important to hold your CCGs to account over these plans.

The additional flexibility delegated commissioning gives CCGs puts them in a better position to create and carry out these plans. It will also make it easier for practices to hold CCGs to account over their support for general practice over the next five years, as they will be responsible for making decisions over the funding of general practice rather than either a remote NHS England regional team or a joint committee where it is unclear who has ultimate responsibility.

#### New care models

When co-commissioning was first introduced the <u>five year forward view</u> had recently been published. It promoted several new care models that break down traditional divides between primary, secondary, and community care and between health services, social care and mental health services. One example of these models is a MCP (multispecialty community provider), a new type of integrated provider that has general practice at its heart and combines the planning, budgets and delivery of primary and community care. It delivers care to the whole population, based on the registered lists of GP practices, using integrated, multi-disciplinary teams. Three different voluntary MCP contractual options are currently in development.

Since then, these models have been developing and the first few are expected to "go live" during 2017. However, for these models to successfully bring about transformation they need to be matched by an equally integrated locality-based commissioning model. CCGs are responsible for the majority of healthcare commissioned services so, to work most effectively with integrated provider models, they need to have access to the full range of commissioning possibilities, including primary care.

The delegated model provides the greatest flexibility to do this in a way suited to local need as CCGs have the ability to choose how to invest from their whole budget. In the joint model, there is the option to set up a pooled budget arrangement with NHS England but there will need to be agreement across the "joint committee" or "committees in common" about how this money is spent.

**For example:** A CCG commissions district nursing services from its community provider. In the delegated model, the CCG could consider pooling the funding for this service with its primary care funding and arrange for district nursing services to be commissioned as part of primary care linked to GP practice nursing. This arrangement would work well for GP services that might be offering some wider primary care services within their practice or network.

### Place-based systems of care

Another important development is the creation of STPs (sustainability and transformation plans), new place-based planning systems. Health and care organisations within 44 footprint areas, covering the whole of England, were tasked with creating these STPs during 2016. Among other things, STPs are expected to outline how integration across healthcare and with local authority services, including public health and social care, will be improved.

This move towards offering more integrated care is likely to involve changing the traditional payment mechanisms used across the system. Capitated payments are one way that CCGs might approach this, particularly for patients with several complex long term conditions. Capitated payment means paying a provider or group of providers to cover the majority of the care provided to a target population, across different care systems. This is slightly different to the model currently used for primary care budgets where the payment goes to a single provider and only covers primary care activity. If there is a move to more patients having capitated budgets across wider systems of care, including primary care, then CCGs having responsibility for the majority of the health budget puts them in a better position to manage this.

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